

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:  
HOUVENER, Robert C.

Serial No.: 10/058,198

Filed: January 25, 2002

For: HIGH VOLUME MOBILE  
IDENTITY VERIFICATION  
SYSTEM AND METHOD

Attorney Docket No.: 6563  
69201

) Examiner: Au, Amelia Megan

) Group Art Unit: 2623

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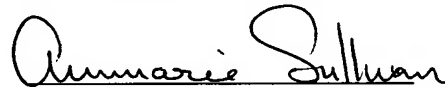
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DATED: June 15, 2004

  
Annmarie Sullivan

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**TRANSMITTAL OF SECOND SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT**

In connection with the above-referenced patent application, Applicants submit herewith a Second Supplemental Information Disclosure Statement, a substitute form PTO-1449, and a copy of each reference listed thereon.

The Second Supplemental Information Disclosure Statement transmitted herewith is being filed within three months of the filing date of the

application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last under 37 C.F.R. § 1.97(b).

Respectfully submitted,

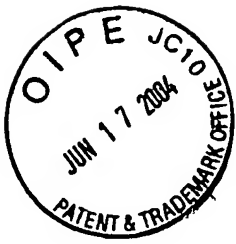
DATE: June 15, 2004



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2623

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Examiner: Au, Amelia Megan

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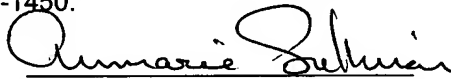
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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which there may be a duty to disclose.

The filing of this *Second Supplemental Information Disclosure Statement* shall not be construed as a representation that a search has been made (37 C.F.R. §

1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this *Second Supplemental Information Disclosure Statement* shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

### **List of Documents Cited**

#### **U.S. Patent Documents:**

Document Number	Date	Name
4,805,223	02-1989	Denyer
5,513,272	04-1996	Bogosian, Jr.
5,705,993	01-1998	Alesu
5,761,329	06-1998	Chen et al.
6,016,476	01-2000	Maes et al.
US2003/0120934	06-2003	Ortiz

#### **Foreign Patent Documents**

None

#### **Other References**

Hong et al., "Integrating Faces and Fingerprints for Personal Identification," IEEE Transactions on Pattern Analysis and Machine Intelligence, Vol. 20, No. 12, pp. 1295-1307 (December 1998).

Bigun et al., "Multimodal Biometric Authentication Using Quality Signals in Mobile Communications," 12th International Conference on Image Analysis and Processing, 2003 Proceedings, pp. 2-11 (September 17-19, 2003).

**Copies of Listed Information**  
**Items Accompanying this Statement**

A copy of each item listed in the accompanying Form PTO-1449 is being provided herewith.

Respectfully submitted,

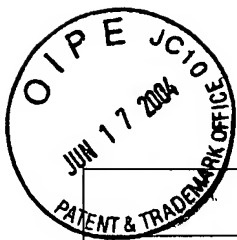
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FORM PTO-1449 (SUBSTITUTE)	ATTY. DOCKET NO.: 6563 (69201)	SERIAL NO.: 10/058,198
<b>LIST OF REFERENCES CITED BY APPLICANT</b>	APPLICANT(S): Houvenner	
	FILING DATE: January 25, 2002	GROUP ART UNIT: 2623

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,805,223	02-1989	Denyer	382	4	
	AB	5,513,272	04-1996	Bogosian, Jr.	382	116	
	AC	5,705,993	01-1998	Alesu	340	825.3	
	AD	5,761,329	06-1998	Chen et al.	382	116	
	AE	6,016,476	01-2000	Maes et al.	705	1	
	AF	US2003/0120934	06-2003	Ortiz	713	186	
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

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**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	CLASS	SUBCLASS	TRANSLATION YES	NO
	AO								
	AP								
	AQ								
	AR								

**OTHER REFERENCES** (Including Author, Title, Date, Pertinent Pages, Etc.)

AS	Hong et al., "Integrating Faces and Fingerprints for Personal Identification," <i>IEEE Transactions on Pattern Analysis and Machine Intelligence</i> , Vol. 20, No. 12, pp. 1295-1307 (December 1998).
AT	Bigun et al., "Multimodal Biometric Authentication Using Quality Signals in Mobile Communications," <i>12th International Conference on Image Analysis and Processing</i> , 2003 Proceedings, pp. 2-11 (September 17-19, 2003).
AU	
AV	
AW	
AX	

EXAMINER:	DATE CONSIDERED:
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.